



Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Standards Committee	Comments
Corporate	Document Retention Policy (Joint review with Legal Services)	High	Deferred				Considered to be included as part of the
Corporate	Procurement (Consultancy)	High	Cancelled				Procurement audit included within 2017/18 plan
Corporate	Delivery of Savings Target	Medium	Audit in Progress				
Corporate/Finance and Business	IT Disaster Recovery and Business Continuity	High	Final Report Issued	Not Applicable	Not Applicable	07/02/2017	Consultancy - no assurance levels
Corporate/Finance and Business	ICT Business Processes	High	Final Report Issued	Satisfactory	Limited	04/07/2017	
Development Services	Building Control Shared Service	Medium	Final Report Issued	Satisfactory	Satisfactory	07/02/2017	
Customer Services	Council Tax Opening Debit 2016/17	High	Final Report Issued	Not Applicable	Not Applicable	22/09/2016	
Customer Services	National Non Domestic Rates (NNDR) 2016-17 Opening Debit	High	Final Report Issued	Not Applicable	Not Applicable	22/09/2016	
Customer Services	Multi Services Contract	High	Deferred				IA to support the development of a control
Customer Services	Off-Street Car Park Income	High	Final Report Issued	Satisfactory	Satisfactory	11/04/2017	
Customer Services	Dursley Pool - Direct Debits	Medium	Deferred				IA to include this review as part of the wider
Customer Services	Green Waste and Bulky Waste Charges	Medium	Final Report Issued	Satisfactory	Satisfactory	11/04/2017	
Customer Services	Benefits Part 2	High	Final Report Issued	Satisfactory	Satisfactory	04/07/2017	
Customer Services	Council Tax - Part 2	High	Final Report Issued	Satisfactory	Satisfactory	11/04/2017	
Customer Services	National Non Domestic Rates (NNDR) - Part 2	High	Final Report Issued	Satisfactory	Satisfactory	11/04/2017	
Finance	Payroll - New System	High	Final Report Issued	Satisfactory	Satisfactory	07/02/2017	
Finance	Budget Monitoring	Medium	Cancelled				Due to work undertaken on HRA Balances and
Finance	Capital Accounting (Property, Plant and Equipment)	High	Final Report Issued	Satisfactory	Satisfactory	11/04/2017	KPMG Joint Working Protocol
Finance	Cash and Bank	High	Final Report Issued	Satisfactory	Satisfactory	11/04/2017	KPMG Joint Working Protocol
Finance	General Non Pay Expenditure (Creditors)	High	Final Report Issued	Satisfactory	Satisfactory	04/07/2017	KPMG Joint Working Protocol
Finance	Network Access Controls	High	Draft Report Issued				KPMG Joint Working Protocol
Finance	General Ledger	High	Final Report Issued	Satisfactory	Satisfactory	07/02/2017	KPMG Joint Working Protocol
Finance	Housing Revenue Account (HRA) Balances Consultancy Review	High	Final Report Issued	Not Applicable	Not Applicable	05/07/2016	New Activity
Finance	Housing Revenue Account (HRA) Balances Consultancy Review follow up	High	Final Report Issued	Not Applicable	Not Applicable	22/09/2016	New Activity
Tenant & Corporate Services	Gas Servicing	High	Final Report Issued	Satisfactory	Satisfactory	11/04/2017	
Tenant & Corporate Services	Housing Rents	High	Final Report Issued	Satisfactory	Satisfactory	04/07/2017	KPMG Joint Working Protocol
Tenant & Corporate Services	LGPS Regulations 2014	High	Draft Report Issued				
Tenant & Corporate Services	Response Repairs Contract	High	Audit in Progress				
Tenant & Corporate Services	Code of Conduct for Employees and Social Media Policy	Medium	Final Report Issued	Satisfactory	Satisfactory	07/02/2017	
Tenant & Corporate Services	Complaints Process - Tenant Services	Medium	Final Report Issued	Satisfactory	Satisfactory	07/02/2017	
Tenant & Corporate Services	Electoral Service	Medium	Deferred				Considered to be included as part of the
Tenant & Corporate Services	Health and Safety - Housing Stock and Corporate Assets	High	Final Report Issued	Satisfactory	Satisfactory	07/02/2017	
Tenant & Corporate Services	Sheltered Housing Modernisation Project	Medium	Final Report Issued	Substantial	Substantial	22/09/2016	
Tenant & Corporate Services	Housing Revenue Account (HRA) Rent Debit	High	Final Report Issued	Satisfactory	Substantial	04/07/2017	
Finance	Housing Revenue Account (HRA) Balances Consultancy Review follow up 2	High	Final Report Issued	Not Applicable	Not Applicable	22/11/2016	New Activity
Finance	Housing Revenue Account (HRA) Balances Consultancy Review follow up 3	High	Final Report Issued	Not Applicable	Not Applicable	11/04/2017	New Activity